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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772,129	02/04/2004	Shaun Hanson	702.103	4273
37902 WRIGHT MEI	7590 12/14/2007 DICAL TECHNOLOGY	EXAMINER		
5677 AIRLINE	EROAD	COMSTOCK, DAVID C		
ARLINGTON, TN 38002-9501			ART UNIT	PAPER NUMBER
			3733	
			MAIL DATE	DELIVERY MODE
			12/14/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Wf.					
	Application No.	Applicant(s)				
,	10/772,129	HANSON ET AL.				
Office Action Summary	Examiner	Art Unit				
	David Comstock	3733				
- The MAILING DATE of this communication app		orrespondence address				
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute,  - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	I.  lely filed  the mailing date of this communication.  D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on 29 Se	eptember 2006.					
_						
3) Since this application is in condition for allowar	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1-20</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdraw						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-20</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9) The specification is objected to by the Examiner	<b>r</b> .					
10)⊠ The drawing(s) filed on <u>29 September 2006</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
·						
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>	Paper No(s)/Mail Dai 5) Notice of Informal Pa					
Paper No(s)/Mail Date <u>9/29/06</u> .  6) Other:						

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#### **DETAILED ACTION**

# **Drawings**

The replacement drawings filed on September 29, 2006, are accepted.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4 and 7-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Kapandji (2 660 856 A1; cited by Applicant).

Kapandji discloses an implant 1 comprising a stem 20 and a head 4 (see Fig. 2). Holes 26 pass through positions near both ends of the stem and are capable of accepting suture. The head includes a 200 degree arc. Component 30 can be considered a portion of the platform or an extension, and includes holes 27 through which suture could be passed.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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Claims 1-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cooney, III et al. (6,302,915 B1; cited by Applicant) in view of Stubstad (3,745,590; cited by Applicant).

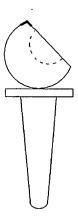
Cooney, III et al. clearly discloses the claimed invention except for the use of suture holes in portions of the device other than the head and except for the head having a through-bore. Stubstad discloses a similar device 10 and teaches attaching a ligature or suture 22 through both the head 11 and the stem 16/platform 19 structure in order to provide a continuity of strength through the prosthesis and resist dislocation of the joint to be corrected while still providing unrestricted natural motion (see, e.g. Fig. 2; col. 1, lines 5-11; and col. 2, lines 44-47). It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the implant device of Cooney, III et al. with holes in portions other than the head, such as the stem and platform, in view of Stubstad, in order to provide a continuity of strength through the prosthesis and resist dislocation of the joint to be corrected while still providing unrestricted natural motion. It would have been further obvious to have selected a bore depth in the head within a range resulting in a through bore, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. In re Aller, 105 USPQ 233. With regard to the particular locations of the various holes etc. it also would have been obvious to have positioned these at any of various locations on the device, since it has been held that mere relocation of parts of an invention involves only routine skill in the art. In re Japikse, 86 USPQ 70.

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# Response to Arguments

Applicant's arguments filed 29 September 2006 have been fully considered but they are not persuasive.

Applicant's discussion of the cavity in the Kapandji reference does not change the fact that the reference shows an enlarged portion on the end thereof, i.e. a head. While Examiner agrees that the inner portion of the head has a cavity, this does not in any way change the fact that the outer surface or extent thereof defines a head. In addition, the vertex and adjacent surfaces where the convex portion meets the planar face surrounding the cavity (see, e.g. Fig. 2 and Example below) define what can be seen as a "triangulated configuration."



**Example** 

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Moreover, the head can be considered to be "configured" for mating with a sigmoid notch, at least because the term "mating" requires only that they be capable of least contacting each other. In addition, regarding the intended use of passing sutures through the holes, the device of Kapandji has holes through which suture is capable of being passed. It is also noted that another Examiner's opinion in the International Search Report is certainly considered but is neither conclusive nor binding on the Office.

Regarding the rejection under 35 USC 103, it is noted that Cooney teaches holes as an attachment means; Stubstad is cited as teaching the attachment of the suture at both the head and the stem/platform structure. The way that Stubstad attaches the suture 22 to the device is not material, since it is not being cited for that proposition. It is being cited for the concept of attaching the suture 22 at the noted locations. In addition, in the same way that the Kapandji reference can be seen as showing a "triangulated configuration," so too can the Cooney reference, also at the vertex of the planar portion and the convex portion (as exemplified above in the diagram; cf. Cooney Fig. 3A). Regarding the motivation to combine and/or modify the references as set forth in the rejection, it is noted that the reason for modifying the combination of references was already set forth in the rejection. Regarding the depth of the bore, the reason one would have to change it is to discover the "optimum or workable range" of the same. In the context of a surgical procedure the workable range, for example, can be controlled by patient anatomy or condition or other surgical requirements requiring a modified installation. In any event, it has been held to be obvious to make such modifications

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and doing so would hardly require a person of ordinary skill in the art to have to consult the Applicant's disclosure to understand how or why to change the dimensions. The reason for positioning the holes in different locations was also given in the rejection: relocation. Again, in the context of a surgical procedure, it would have been obvious to a person of ordinary skill in the art to have positioned the holes in the locations as noted in the claims and the rejection, as this, too, has been held to be obvious, and doing so can accomodate, for example varying patient anatomy, condition or other surgical requirements necessitating a modified installation.

### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Comstock whose telephone number is (571) 272-

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4710. Please leave a detailed voice message if examiner is unavailable. If attempts to

reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo

Robert can be reached at (571) 272-4719. The fax phone number for the organization

where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

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you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

D. Comstock

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